

**SECRETARIAL COMPLIANCE REPORT OF SINTERCOM INDIA LIMITED**  
**For the year ended on 31<sup>st</sup> March 2022**

We have examined:

- (a) all the documents and records made available to us and explanation provided by Sintercom India Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchange (NSE),
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended on 31<sup>st</sup> March 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; (SEBI LODR)
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; Not Applicable to the Listed entity during review period;



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; Not Applicable to the Listed entity during review period;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; Not Applicable to the Listed entity during review period;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; Not Applicable to the Listed entity during review period;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) And (other regulations as applicable)

And based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below and subject to the observations stated as follows: -

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing company Secretary
1.	<i>Pursuant to Regulation 18(1)(d) of SEBI LODR, the chairperson of the audit committee who shall be an independent director is required to be present at Annual General Meeting to answer the shareholder queries.</i>	<i>The chairperson of the audit committee was not present at Annual General Meeting of the Company held on 23<sup>rd</sup> September 2021.</i>	<i>The Company has not complied with Regulation 18(1)(d) of SEBI LODR, to the extent of deviation.</i>

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: NIL



Sr. No.	Action Taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment etc.	Observations/ remarks of the Practicing Company Secretary
Not Applicable				

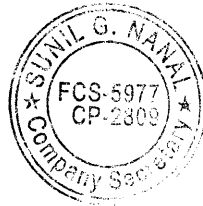
(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	<i>The Explanatory Statement of the Notice of Extra-Ordinary General Meeting held on 24th February 2021 did not contain disclosures pursuant to Regulation 163(1)(d) of SEBI (Issue of Capital &amp; Disclosure Requirements) Regulations 2018.</i>	<i>The Company has not complied with provisions of Regulation 163(1)(d) of SEBI (Issue of Capital &amp; Disclosure Requirements) Regulations 2018 pertaining to disclosure of shareholding pattern before and after the preferential issue on fully diluted basis.</i>	<i>The Company has issued corrigendum to Notice on 2<sup>nd</sup> March 2021, disclosing the shareholding pattern before and after the preferential issue on fully diluted basis.</i>	<i>Action initiated by the Company is self-explanatory.</i>

**For KANJ & Co. LLP,  
 Company Secretaries,**

*Sunil Nanal*

**Sunil Nanal  
 Partner  
 M. No: 5977  
 C P. No: 2809**



**UDIN: F005977D000422360  
 Date: 29<sup>th</sup> May 2022  
 Place: Pune**